

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NE-2023-018-00
Temporary Application Number	1815-2023-2
Award Name	NDOT: Sec. 5311 - FFY2021 - Rural Rolling Stock Procurement
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Nebraska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	NUSELTALU9E7	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K St Rm 2316	Lincoln	NE	685082731
Physical Address	1500 Hwy 2	Lincoln	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	

Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT: Sec. 5311 - FFY2021 - Rural Rolling Stock Procurement

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NE-2023-018-00	Final Concurrence / Reservation	Grant	Region 7	2/9/2023	2/9/2023	No

Application Executive Summary

This application is to request Section 5311 funds apportioned to the State of Nebraska, UZA: 310000 in the amount of \$ 1,870,000.

Project Description

Purchase of 24 vehicles total for rural subrecipients per approved vehicle applications. (8) Eight for expansion and (16) sixteen for replacement of existing vehicles that have met their useful life or at least 100,000 miles.

FUNDING:

85% - Sec 5311-FY2021: \$1,870,000

5% - State: \$ 110,000

10% - Local: \$ 220,000

TOTAL FUNDING: \$ 2,200,000

State match comes from Nebraska's Roads Operations cash fund.

Local match comes from city or county's locally assessed taxes

TVM COMPLIANCE:

Grantee: Nebraska Department of Transportation (NDOT) will require each transit vehicle manufacturer (TVM), as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, to certify that it has complied with the TVM requirements outlined on 49 CFR 26.49. Alternatively, Grantee: NDOT may, at its discretion and with FTA approval, establish project-specific goals for DBE participation in the procurement of transit vehicles in lieu of the TVM complying with this element of the program. To ensure that the regulations are complied with as a condition of determining responsiveness, Grantee: NDOT will review the FTAs eligible to bid list for TVMs and when appropriate obtain a copy of the TVM Certification associated with any vehicles acquired with federal funds.

ATTACHED DOCUMENTS:

STIP - NE Transit FY's 2023-2026

Nebraska's 2040 Long Range Transportation Plan

ONEDOT FY23-26 NE STIP Approval-Oct 2022

POP for 1815-2023-2

Eligible Transit Vehicle Manufacturers-Updated 1-24-2023

Vehicle Disposition Form-for Replacement Vehicles

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to purchase vehicles for expansion and replacement for the rural subrecipients in Nebraska.

Activities to be performed:

Funds will be used to procure transit vehicles through an approved State contract.

Expected outcomes:

Funding will improve and expand services areas for senior and individuals with disabilities for the rural areas in Nebraska and replace existing vehicles that have met the useful life and/or miles. See extended budget description for subrecipient details on expansion.

Intended beneficiaries:

Twelve 5311 Subrecipients that submitted applications for vehicles that were reviewed and approved.

Subrecipient Activities:

Submitted applications to NDOT for vehicle(s) expansion or replacement.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
June	Weyers	Federal Aid Administrator III	june.weyers@nebraska.gov	(402) 479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,870,000
Local			\$220,000
Local/In-Kind			\$0
State			\$110,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,200,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2023-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,870,000.00	\$330,000.00	\$2,200,000.00	24
NE-2023-018-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$624,750.00	\$110,250.00	\$735,000.00	7
NE-2023-018-01-00	11.12.15 BUY REPLACEMENT VAN	\$650,250.00	\$114,750.00	\$765,000.00	9
NE-2023-018-01-00	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$89,250.00	\$15,750.00	\$105,000.00	1

NE-2023-018-01-00	11.13.15	BUY VAN FOR SVC EXPANSION	\$505,750.00	\$89,250.00	\$595,000.00	7
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Sec 5311-Rolling Stock for Rural Subrecipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2023-018-01-00	1815-2023-2-P1	2/9/2023	5/1/2023	3/30/2025

Project Description

Purchase of 24 vehicles total for rural subrecipients per approved vehicle applications. Eight for expansion and 16 for replacement of existing vehicles that have met their useful life or at least 100,000 miles.

Project Benefits

These 12 Subrecipient's will benefit so that they can expand their existing service due to business growth, facilitate Medicaid transports, assist outside city limits rider and to make them more mobile and easier to access to accommodate the riders. Replacing existing vehicles that have met their useful life or at least 100,000 miles will benefit in less expenses to repair and maintain those vehicles.

See Extended Budget Description for more details on expansion vehicles.

Additional Information

None provided.

Location Description

Rural Subrecipients in Nebraska

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: 7/29/2022

Description: STIP - Nebraska Transit FY's 2023-2026

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: 4/1/2021

Description: Not Provided

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,870,000
Local			\$220,000
Local/In-Kind			\$0
State			\$110,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,200,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2023-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,870,000.00	\$330,000.00	\$2,200,000.00	24
NE-2023-018-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$624,750.00	\$110,250.00	\$735,000.00	7
NE-2023-018-01-00	11.12.15 BUY REPLACEMENT VAN	\$650,250.00	\$114,750.00	\$765,000.00	9
NE-2023-018-01-00	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$89,250.00	\$15,750.00	\$105,000.00	1
NE-2023-018-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$505,750.00	\$89,250.00	\$595,000.00	7

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	9

Extended Budget Description

Butler County will use these funds to replace a lowered floor minivan that was placed into service on 3/30/2012 and has exceeded its useful life expectancy of 4 years and has over 100K miles. This new lowered floor light-duty minivan seats 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

Garden County will use these funds to replace a lowered floor minivan that was placed into service on 6/1/2017 and has exceeded its useful life expectancy of 4 years. This new lowered floor light-duty minivan seats 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

Kimball County will use these funds to replace two lowered floor minivans that were placed into service on 1/3/2013 and 1/03/2017 and a Ford explorer that was placed into service on 2/1/2013. All three have exceeded its useful life expectancy of 4 years and two have over 100K miles. The new lowered floor light-duty minivan will seat 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

Scotts Bluff County will use these funds to replace two small buses that were placed into service on 6/22/2015 and 3/26/2012. Both of these have exceeded its useful life expectancy of 4 years and has over 100K miles. These new lowered floor light-duty minivans seats 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

City of Sidney will use these funds to replace a small bus that was placed into service on 11/9/2010 and has exceeded its useful life expectancy of 4 years and has over 100K miles. This new lowered floor light-duty minivan seats 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

York County will use these funds to replace a lowered floor minivan that was placed into service on 6/30/2014 and has exceeded its useful life expectancy of 4 years and has over 100K miles. This new lowered floor light-duty minivan seats 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

See attached Vehicle Disposition Form-For Replacement Vehicles, Item #8-16 for more details.

All vehicles will have a useful life of 4 years or 100,000 miles, will be ADA accessible, and will meet the requirements of the Clean Air Act (CAA) standards.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$650,250
Local			\$76,500
Local/In-Kind			\$0
State			\$38,250
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$765,000

Milestone Name	Est. Completion Date	Description
RFP Issue Date	9/30/2023	
Contract Award Date	12/31/2023	
Initial Delivery Date	4/30/2024	
Final Delivery Date	10/30/2024	
Contract Completion Date	3/30/2025	

Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Kimball County - Adding one light duty Ford Transit to expand service for the following reasons: Part of the expansion is just keeping up with the growth of the current community riders. Last year there were 26,446 boardings and sponsored boardings. This year Kimball already had 23,675 boardings through March, 2023. At this rate, they are anticipating over 30,000 boardings which is before any growth described in the following paragraphs.

Kimball has a population of just 2,500 people. The fortune 500 company Clean Harbors has decided to make Kimball a national hub. They are doubling their employment which is more than a 10% increase in population just counting the workers. That has brought the attention to developers and businesses that want to service the expanding population. Kimball has 2 housing developments totaling 600 living units working on their annexation and development plan. The first 120 are planning to break ground this summer. Kimball has already had 3 retail stores come to town making even those who live in smaller villages out in the neighboring counties interested riding into Kimball more often. This is all before the US Air Force Sentinel project takes place. According to the Economic Impact Statement (EIS) the housing hub for up to 3,000 workers will be located in Kimball. The EIS also states that the housing hub will have an additional space for 200 admin staff and yet there will only be a total of 650 parking spaces. There is plenty of reason in the EIS to assume the workers will be using public transportation at whatever capacity Kimball can provide.

To meet the demand Kimball will begin new routes. The Red Line started November 1, 2022 from Scottsbluff, NE to Denver International Airport. July 11, 2023 plans to begin 2 more routes that will connect Kimball's service areas to the big activity centers, Scottsbluff and Sidney, NE.

This vehicle will have a useful life of 4 years or 100,000 miles, will be ADA accessible, and will meet the requirements of the Clean Air Act (CAA) standards.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$89,250
Local			\$10,500
Local/In-Kind			\$0

State		\$5,250
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$105,000

Milestone Name	Est. Completion Date	Description
RPF Issue Date	9/30/2023	
Contract Award Date	12/31/2023	
Initial Delivery Date	4/30/2024	
Final Delivery Date	10/30/2024	
Contract Completion Date	3/30/2025	

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	7

Extended Budget Description

Avera Creighton - Additional light duty lowered floor minivan will be used for those routes with up to 3 passengers when there is no need to take the 14 passenger bus. This will help keep operating costs down also. The 14 passenger bus will be used for those routes when there is a need for the large volume capacity.

Cedar County – Our transit service is gaining business and expanding to serve parts of Knox County, we will need these 2 light duty lowered floor minivans to cover the need for transportation.

City of North Platte – Adding one LF Mini Van with manual lift to our fleet and the other LF Mini Van is needed to transport current riders who live out of the city limits. This van will also save fuel and be more economic when just transporting one or two riders who live 15 or more miles from North Platte.

Stratton -- Due to high demands we are looking to add two vehicles to our fleet. We have experienced growth in the surrounding areas including facilitating Medicaid transports. Over the last 3 years we have had 75% growth.

All seven of these new lowered floor light-duty minivan will seats 3 ambulatory passengers or a mix of ambulatory and wheelchair passengers.

All vehicles will have a useful life of 4 years or 100,000 miles, will be ADA accessible, and will meet the requirements of the Clean Air Act (CAA) standards.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$505,750
Local			\$59,500
Local/In-Kind			\$0
State			\$29,750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$595,000

Milestone Name	Est. Completion Date	Description
RFP Issue Date	9/30/2023	
Contract Award Date	12/31/2023	
Initial Delivery Date	4/30/2024	
Final Delivery Date	10/30/2024	
Contract Completion Date	3/30/2025	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	7

Extended Budget Description

Avera St. Anthony will use these funds to replace a small bus that was placed into service on 10/22/2004 and has exceeded its useful life expectancy of 4 years. The new light duty Ford transit seats 10 ambulatory passengers or a mix ambulatory and wheelchair passengers.

Kimball County will use these funds to replace two small buses that were placed into service on 4/23/2010 and 10/01/2004 and has exceeded its useful life expectancy of 4 years. The two new light duty Ford transit seats 10 ambulatory passengers or a mix ambulatory and wheelchair passengers.

Saline County will use these funds to replace a lowered floor minivan that was placed into service on 8/8/2006 and has exceeded its useful life expectancy of 4 years and has over 100K miles. The new light duty Ford transit seats 10 ambulatory passengers or a mix ambulatory and wheelchair passengers.

Scotts Bluff County will use these funds to replace two small buses that were both placed into service on 2/20/2018 and has exceeded there useful life expectancy of 4 years and has over 100K miles each. These will be replaced with two 25-foot light-duty small transit (cutaway) buses, gasoline engine with the option of compressed natural gas, that holds 12 passengers plus 2 wheelchair passengers.

York County will use these funds to replace a small bus that was placed into service on 4/2/2012 and has exceeded its useful life expectancy of 4 years. The new light duty Ford transit seats 10 ambulatory passengers or a mix ambulatory and wheelchair passengers.

See attached Vehicle Disposition Form-For Replacement Vehicles, Items #1-7 for more details.

All vehicles will have a useful life of 4 years or 100,000 miles, will be ADA accessible, and will meet the requirements of the Clean Air Act (CAA) standards.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$624,750
Local			\$73,500
Local/In-Kind			\$0
State			\$36,750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$735,000

Milestone Name	Est. Completion Date	Description
RFP Issue Date	5/1/2023	Ford Transit State Contract has been approved. The RFP's will be issued throughout the next few months.
Contract Award Date	8/30/2023	
Initial Delivery Date	6/30/2024	
Final Delivery Date	10/30/2024	
Contract Completion Date	3/30/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/26/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	9	\$650,250.00	\$765,000.00
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	1	\$89,250.00	\$105,000.00
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	7	\$505,750.00	\$595,000.00
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	7	\$624,750.00	\$735,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type	DOL Review for Information
Date	6/27/2023
Project Description	Purchase of 24 vehicles total for rural subrecipients per approved vehicle applications. (8) Eight for expansion and (16) sixteen for replacement of existing vehicles that have met their useful life or at least 100,000 miles.
Comment	<p>FUNDING:</p> <p>85% - Sec 5311-FY2021: \$1,870,000</p> <p>5% - State: \$ 110,000</p> <p>10% - Local: \$ 220,000</p> <p>TOTAL FUNDING: \$ 2,200,000</p>

Application Review Comments

Comment By Marisa Appleton

Comment Type	Application Details
Date	2/27/2023
Comment	Please recall 49 CFR Part 26.49(a) states 'If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being

authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with the requirements of this section.' FTA's current list of eligible TVMs can be found at <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-transit-vehicle-manufacturers>

Please recall 49 CFR Part 26.49(a)(4) states 'FTA recipients are required to submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract in the manner prescribed in the grant agreement.' The Transit Vehicle Award Reporting Form can be found on FTA's website.

Comment By Marisa Appleton

Comment Type	Application Details
Date	6/21/2023
Comment	"A public entity receiving FTA funds under 49 U.S.C. 5311 or a public entity in a small urbanized area which receives FTA funds under 49 U.S.C. 5307 from a state administering agency rather than directly from FTA, which determines that its service to individuals with disabilities is equivalent to that provided other persons shall, before any procurement of an inaccessible vehicle, file with the appropriate state program office a certificate that it provides equivalent service meeting the standards of paragraph (c) of this section. Public entities operating demand responsive service receiving funds under any other section of the [Federal Transit Act] shall file the certificate with the appropriate FTA regional office. A public entity which does not receive FTA funds shall make such a certificate and retain it in its files, subject to inspection on request of FTA. All certificates under this paragraph may be made and filed in connection with a particular procurement or in advance of a procurement; however, no certificate shall be valid for more than one year" (§ 37.77(d)).

Application Review Comments

Comment By Logan Daniels

Comment Type	Pre-Award Manager Returns Application
Date	2/27/2023
Comment	

Comment By Logan Daniels

Comment Type	Pre-Award Manager Returns Application
Date	3/16/2023
Comment	

Comment By Logan Daniels

Comment	Pre-Award Manager Returns Application
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Type

Date	6/26/2023
Comment	Capital Section 5311 projects should use Scope Code 600-00, (you will find the same Activity Line Item [ALI] codes as associated with Scope Code 300-00). The 600-00 scope code series has the same available capital-related ALI codes.

Application Review Comments

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	6/26/2023
Project Title	Sec 5311-Rolling Stock for Rural Subrecipients
Project Number	NE-2023-018-01-00
Comment	The proposed acquisition activity to purchase replacement and service expansion vehicles for eligible sub-recipients is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	6/26/2023
Project Title	Sec 5311-Rolling Stock for Rural Subrecipients
Project Number	NE-2023-018-01-00
Comment	The proposed acquisition activity to purchase replacement and service expansion vehicles for eligible sub-recipients is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).